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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: December 22, 2004 Name: Tadashi Horie Reg. No. 40,437 Signature: Tadashi Horie

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& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Dai KAMIYA
Kazuhiro YAMADA
Takashi KONDO

Appln. No.: 10/684,968

Filed: October 14, 2003

For: COMMUNICATION DEVICE, PROGRAM
AND RECORDING MEDIA

Attorney Docket No: 9683/160

Examiner: To Be Assigned

Art Unit: 2681

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

TRANSMITTAL

Attached is/are:

- ☒ Information Disclosure Statement, Copy of European Search Report, Form PTO-1449, 3 Cited References (A1-3)
- ☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

December 22, 2004
Date

Tadashi Horie
Tadashi Horie (Reg. No. 40,437)



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Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or
Registered Representative

Tadashi Horie

Signature

December 22, 2004

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INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

Anonymous: "The Common Object Request Broker: Architecture and Specification" *Internet Article "Online!"* <URL: <http://www.omg.org/docs/formal/97-02-25.pdf>>, XP002286451, February 25, 1997, 28 Pages

Magelang Institute: "Introduction to CORBA", *Internet Article "Online!"* <URL: <http://java.sun.com/developer/onlineTraining/Downloads/CORBA-JDC.ZIP>>, XP002286452, December 3, 1999, 24 Pages

Oaks, S. "Java Security", O'Reilly & Associates, Inc., USA XP002286453, May 2001, 4 Pages



FORM PTO-1449	SERIAL NO. 10/684,968	CASE NO. 9683/160
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE October 14, 2003	GROUP ART UNIT 2681
(use several sheets if necessary)		APPLICANT(S): Dai KAMIYA et al.

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A					
	A					
	A					
	A					
	A					
	A					
	A					

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A					
	A					
	A					

OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER INITIAL		
	A1	Anonymous: "The Common Object Request Broker: Architecture and Specification" <i>Internet Article "Online!"</i> <URL: http://www.omg.org/docs/formal/97-02-25.pdf >, XP002286451, February 25, 1997, 28 Pages
	A2	Magelang Institute: "Introduction to CORBA", <i>Internet Article "Online!"</i> <URL: http://java.sun.com/developer/onlineTraining/Downloads/CORBA-JDC.ZIP >, XP002286452, December 3, 1999, 24 Pages
	A3	Oaks, S. "Java Security", <i>O'Reilly & Associates, Inc.</i> , USA XP002286453, May 2001, 4 Pages
	A	
	A	
	A	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.


Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). References A1-A3 were cited in a Search Report issued in a counterpart EPO application. A copy of the Search Report is also enclosed with this Statement. As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

December 22, 2004
Date



Tadashi Horie (Reg. No. 40,437)